

Second Edition

The
Complete
Reference™

Information Security

- Learn proven security strategies, techniques, and best practices
- Implement reliable data, network, computer, and application security
- Understand compliance with standards, regulations, and laws

Mark Rhodes-Ousley

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About the Author

Mark Rhodes-Ousley is experienced with every aspect of security, from program management to technology. That experience includes risk management, security policies, security management, technology implementation and operations, physical security, disaster recovery, and business continuity planning. A resident of Silicon Valley, he has been fortunate to live through the early years, boom times, and mainstreaming of computers and the Internet, practicing information security even before Windows existed. Mark holds a CISSP certification from the International Information Systems Security Certification Consortium (ISC)², a CISM certification from the Information Systems Audit and Control Association (ISACA), and certifications from ITIL, Microsoft (MCSE: Security 2003), Cisco, Security Dynamics, Raptor Systems, Hewlett-Packard, and Digital Equipment Corporation, along with a bachelor's degree in applied mathematics and electrical engineering from the University of California, San Diego (UCSD).

Specializing in information security since 1994 when he built the first Internet firewall for Santa Clara County, California, Mark has built quality-focused security programs, processes, and technologies at Robert Half International (RHI), Merrill-Lynch, National City Bank, Fremont Bank, Sun Microsystems, PG&E, Clorox, The Gap, Aspect Communications, Hitachi Data Systems (HDS), SunPower, and the original Napster. He holds two core beliefs: that business processes are just as important as technology because security relies on people; and that security should be a business enabler, with a goal of enhancing the customer experience. Believing that maturity of a security program should be improved one step at a time, measured on a five-point maturity scale, with targets agreed upon by business stakeholders, Mark is also a proponent of “management by measurement”—performance measured with metrics (raw data) to manage down and key performance indicators (KPI dashboards) to manage up. His experience has shown that building bridges and fostering cross-departmental collaboration, along with executive sponsorship and engagement, enhances the success of the security program.

Mark can be reached at mro@engineer.com or www.facebook.com/pages/Information-Security-The-Complete-Reference-2nd-Ed on Facebook.

About the Contributors and Technical Reviewers

Andrew Abbate, contributor, enjoys the position of principal consultant and partner at Convergent Computing. With nearly 20 years of experience in IT, Andrew's area of expertise is understanding a business's needs and translating that to processes and technologies to solve real problems. Having worked with companies from the Fortune 10 to companies of ten employees, Andrew has a unique perspective on IT and a grasp on “big picture” consulting. Andrew has also written nine industry books on varying technologies ranging from Windows to security to unified communications and has contributed to several others. Andrew can be reached via e-mail at andrew@abbate.org.

After being battered about for 20 years in the construction industry, **Barrington Allen**, technical reviewer, packed up his transferable skills and began a career in information technology 16 years ago. Working in a Fortune 100 company has provided Barrington the opportunity to work on interesting and complex enterprise systems, while also providing the continual learning support which is essential to any IT career. Barrington is often seen walking his border collies, or seeking to ride on a velodrome near you.

Brian Baker, contributor, has been an IT professional for nearly three decades. Brian has supported environments consisting of large, multi-mainframe data centers, international corporations, and smaller, single-site e-commerce infrastructures. He has worked for EDS, ACS, Merrill Lynch, Ross Dress for Less, and others over the course of his career. His roles have included systems, network, messaging, and security, and for the past ten years he has been supporting and managing storage infrastructures. Brian initially began his storage career while he worked as part of a small team to select and design a SAN implementation. From there he managed the backup and storage infrastructure for a division of Merrill Lynch. As his experience grew, Brian accepted a position with a large hosting provider, joining a small team that managed over 3 petabytes of storage consisting of various SAN array vendors and SAN fabrics within 16 data centers. Brian is an EMC Storage Specialist (EMCSA) and holds a bachelor's degree in information technology from National University. He may be contacted at bmbaker@gmail.com.

As a security researcher at McAfee, contributor **Zheng Bu**'s every day work is on host and network security. He likes to innovate and address security problems. His recent research includes application and mobile. He is a runner, badminton player, and photographer. Feel free to contact him at zheng.bu.sec@gmail.com.

Brian Buege, contributor, is the Director of Engineering at Spirent Communications. He has more than ten years of software development experience and has been developing large-scale, enterprise Java applications since 1998. He lives in McKinney, Texas, with his wife and son.

Anil Desai (MCSE, MCSA, MCSD, MCDBA), contributor, is an independent consultant based in Austin, Texas. He specializes in evaluating, developing, implementing, and managing solutions based on Microsoft technologies. He has worked extensively with Microsoft's server products and the .NET platform. Anil is the author of several other technical books, including *MCSE/MCSA Managing and Maintaining a Windows Server 2003 Environment Study Guide Exam 70-290* (McGraw-Hill/Osborne, 2003), *Windows 2000 Directory Services Administration Study Guide* (McGraw-Hill/Osborne, 2001), *Windows NT Network Management: Reducing Total Cost of Ownership* (New Riders, 1999), and *SQL Server 2000 Backup and Recovery* (McGraw-Hill/Osborne, 2001). He has made dozens of conference presentations at national events and is also a contributor to magazines. When he's not busy doing techie-type things, Anil enjoys cycling in and around Austin, playing electric guitar and drums, and playing video games. For more information, you can contact him at anil@austin.rr.com.

Leo Dregier, contributor, got his start in networking when he took the MCSE 4.0 Microsoft track. After a few short months, he was recognized as a very knowledgeable subject matter expert, so much so that the corporate school he attended offered him a job to teach other aspiring Microsoft engineers. Leo has the ability to learn very quickly and is highly adaptable, analytical, and an overachiever (as demonstrated by having expertise in over 40 of the popular computer certifications, including CISSP, ISSEP, CISM, CISA, CRISC, PMP, CEH, CHFI, and several others). Leo has been a principal at the computer security firm The Security Matrix, LLC, since 1995. He has provided consulting services to many U.S. federal clients, including the Department of State, the Department of Labor, the Internal Revenue Service, and the Centers for Medicaid and Medicare Services. Additionally, Leo has helped thousands of IT professionals achieve their certifications online at TheCodeOfLearning.com and maintains an evaluation level above 90+%. When Leo is not working as a consultant or in the classroom, you can find him working on his other personal projects. TheProfitCycle.com is geared toward people who need help learning how to adapt to technology and want to

make money using technology as a solution. Leo has also created FindRealEstateHelp.com, which is a real estate problem-solving and investment company. In his spare time, he sleeps and spends time with his beautiful wife. Leo can be contacted for consulting, public speaking, TV appearances, and more at www.leodregier.com.

Dr. Nick Efford, contributor, is a senior teaching fellow in the School of Computing at the University of Leeds in the United Kingdom, where he currently teaches object-oriented software engineering, distributed systems, and computer security. His previous published work includes a book on digital image processing using Java.

Aaron Estes, technical reviewer, has over twelve years of experience in software development and security engineering. His expertise includes secure coding and code review, penetration-testing, security architecture review, and network security. Aaron has had key security engineering roles on several of Lockheed Martin's largest contracts. In addition to Lockheed Martin, Aaron has worked with a number of Fortune 500 companies as a security consultant. He has over four years of teaching experience at Southern Methodist University at the undergraduate and graduate level, and expects to complete his doctorate degree this year in Software Engineering with a focus on security software at Southern Methodist University in Dallas.

Thaddeus Fortenberry (MCSE, MCT), contributor, is a senior member technical staff and the remote access architect for employee access at HP. For the past year, he has been working on the consolidation of the remote access solutions for the merged Compaq and HP environments. Thaddeus specializes in complete security plans for remote deployments that address real-world issues and protection.

Christian Genetski, contributor, is a Senior Vice President and General Counsel at the Entertainment Software Association. Christian is a former prosecutor in the Department of Justice Computer Crime Section, where he coordinated the investigations of several prominent computer crime cases, including the widely publicized denial of service attacks that hit e-commerce sites eBay, Amazon.com, and others in February 2000. In private practice, he counsels clients on compliance with information security regulations, conducts investigations into computer security breaches or other hostile network activity, and represents clients in civil litigation or criminal referrals arising from network incidents. Christian graduated from the Vanderbilt University School of Law, Order of the Coif. He regularly lectures to a wide variety of audiences on computer crime and information security issues, and he serves as an adjunct professor at the Georgetown University Law Center. Christian would like to thank David Tonisson for his thoughtful contributions to Chapter 3 on legal issues.

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Roger A. Grimes (CPA, MCSE NT/2000, CNE 3/4, A+), contributor, is the author of *Malicious Mobile Code: Virus Protection for Windows* (O'Reilly, 2001), *Honey pots for Windows* (Apress, 2004), and *Professional Windows Desktop and Server Hardening* (Wrox, 2006) and

has been fighting malware since 1987. He has consulted for some of the world's largest companies, universities, and the U.S. Navy. Roger has written dozens of articles for national computer magazines, such as *Windows & .NET Magazine*, *Microsoft Certified Professional Magazine*, and *Network Magazine*, and *Newsweek* covered his work fighting computer viruses. You can contact him at rogerg@cox.net.

Gregory Hoban, technical reviewer, is a Senior Systems Engineer currently in Emeryville, California. He has over 17 years of experience dealing with a wide range of servers and storage, specializing in systems and database installation and configuration. Gregory has deployed highly available Oracle and SQL server databases on a number of SANs. He has been responsible for implementing security restrictions and business IT process controls at both FDA- and SOX-compliant facilities. Gregory holds an NCDA certification for NetApp and an Advanced CXE certification for Xiotech.

Michael Howard, contributor, is a Principal CyberSecurity Architect at Microsoft Corp., a founding member of the Secure Windows Initiative group at Microsoft, and a coauthor of *Writing Secure Code* (Microsoft Press, 2001). He focuses on the short- and long-term goals of designing, building, testing, and deploying applications to withstand attack and yet to still be usable by millions of nontechnical users.

Ayush Jain, technical reviewer, is a Senior IT Infrastructure Manager in Emeryville, California. Ayush's professional experiences cover all facets of information security, including, but not limited to, designing and deploying secure infrastructures, BYOD, VDI, implementing intrusion detection and data leak prevention systems, and developing policies and procedures for IT Governance. He holds a bachelor's degree in information technology from Rochester Institute of Technology (R.I.T.) and Advanced CXE certification for Xiotech.

Michael Judd (a.k.a. Judd), contributor, is a Senior Application Engineer at FTEN (a NASDAQ OMX company). He has taught and developed technical coursework on subjects ranging from Java syntax, object-oriented analysis and design, patterns, and distributed programming, to Java security and J2EE. He lives in Denver, Colorado.

Dr. Bryan Kissinger, contributor, is a seasoned security professional with over 18 years of experience advising government and various private sector organizations on enhancing their security posture. He is currently responsible for assessing risk, recommending infrastructure enhancements, and managing compliance for a major healthcare provider. Bryan was previously a Director in PricewaterhouseCoopers' Security practice with leadership responsibilities in the Pacific Northwest and Bay Area markets. He is considered a healthcare and technology sector specialist and is a published author and frequent public speaker on the topics of security and information technology strategy.

Thomas Knox, contributor, has done Unix administration for more years than he wants to admit. He is currently a Streaming Media Engineer at Comcast and previously worked as a network and system engineer for National Geographic and Amazon.com. His thanks go to his wife Gisela for all her love and support.

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Eric Milam, contributor, is a Principal Security Assessor with over 14 years of experience in information technology. Eric has performed innumerable consultative engagements, including enterprise security and risk assessments, perimeter penetration testing, vulnerability assessments, social engineering, physical security testing, and wireless assessments, and has extensive experience in PCI compliance controls and assessments. Eric is a project steward for the Ettercap project as well as creator and developer of the easy-creds and smbexec open source software projects. He can be reached at emilam@accuvant.com and jbrav.hax@gmail.com.

Michael T. Raggo (CISSP, NSA-IAM, CCSI, ACE, CSI), contributor, applies over 20 years of security technology experience and evangelism to the technical delivery of security research and solutions. Michael's technology experience includes penetration testing, wireless security assessments, compliance assessments, firewall and IDS/IPS deployments, mobile device security, incident response and forensics, and security research, and he is also a former security trainer. As a Product Manager at AirDefense, he co-designed a new and innovative product (Wireless Vulnerability Assessment; U.S. patent #7,577,424), a wireless "hacker-in-a-box" add-on module for AirDefense's Wireless IPS solution. In addition, Michael conducts ongoing independent research on various wireless and mobile hacking techniques, as well as data hiding. He has presented on various security topics at numerous conferences around the world (including BlackHat, DefCon, SANS, DoD Cyber Crime, OWASP, InfoSec, etc.) and has even briefed the Pentagon. You can find out more on his security research website at www.spyhunter.org.

Eric Reither, technical reviewer, is the Vice President and a Senior Security Consultant at Security by Design Inc. Since 2001, he has been involved with numerous projects, and his project management skills have proven invaluable for keeping projects on time and on budget. Eric's project involvement also extends to engineering, drafting, and database management. This deep level of project involvement combined with Eric's experience helps to guarantee client expectations are exceeded on a regular basis. Eric also has over ten years of experience in the fire suppression and facilities communication systems industries. During that period, his responsibilities included systems installation, all facets of project management, systems engineering and design, and training program development. He can be reached at eric_reither@sbd.us.

Ben Rothke (CISSP), technical reviewer, is a Corporate Services Information Security Manager at Wyndham Worldwide, and he has more than 15 years of industry experience in the area of information systems security. His areas of expertise are in PKI, HIPAA, 21 CFR Part 11, design and implementation of systems security, encryption, firewall configuration and review, cryptography, and security policy development. Prior to joining ThruPoint, Inc., Ben was with Baltimore Technologies, Ernst & Young, and Citicorp, and he has provided security solutions to many Fortune 500 companies. Ben is also the lead mentor in the ThruPoint CISSP preparation program, preparing security professionals to take the rigorous CISSP examination. Ben has written numerous articles for such computer periodicals as the *Journal of Information Systems Security*, *PC Week*, *Network World*, *Information Security*, *SC*, *Windows NT Magazine*, *InfoWorld*, and the *Computer Security Journal*. Ben writes for *Unix Review* and *Security Management* and is a former columnist for *Information Security* and *Solutions Integrator* magazine; he is also a frequent speaker at industry conferences. Ben is a Certified Information Systems Security Professional (CISSP) and Certified Confidentiality Officer (CCO), and a member of HTCIA, ISSA, ICSA, IEEE, ASIS, and CSI. While not busy making corporate America a more secure place, Ben enjoys spending time with his family.

Zeke (Ezekiel) Rutman-Allen, technical reviewer and contributor, is first and foremost a fanatical technologist. Zeke carries an active interest in all disciplines of technology application, from tradecrafts to supercomputing, with expertise in many different areas of telecommunications, networking, and data centers. Originally a network engineer, he has held a variety of technical and management positions in enterprise and government organizations in network engineering, data center, and voice/VoIP architecture, design, and operation. Currently, Zeke holds the position of Senior Manager, Global Network Services for a multibillion dollar green energy company. His responsibilities include several key technology stacks, including data center spec/design/operation, LAN/WAN, global voice and VoIP platforms, and all remote access. These duties have allowed Zeke to satiate his hunger for knowledge while maintaining a wide variety of expertise across a multitude of disciplines. Zeke can be reached at zekera@gmail.com.

Stephen Singam, technical reviewer, has extensive experience in information security architecture and management, stakeholder management, strategic planning, and security project management and delivery. He is currently a CTO at Hewlett-Packard, and has held security leadership positions at Commonwealth Bank of Australia (Sydney), 20th Century Fox/News Corporation (Los Angeles), Salesforce.com (San Francisco), IBM (New York), and Nokia (Helsinki). His accomplishments include developing a Cyber Security Operation Center (SOC) encompassing the provisioning of security monitoring via IDaaS, threat and vulnerability intelligence using Big Data technologies and managed security infrastructure, and creating a cloud security reference architecture for a large telecommunication SaaS market offering. At 20th Century Fox, Stephen developed Intellectual Property Security Architecture, Standards, and Policies that cover all release platforms from Script Development to Home Entertainment worldwide. This was accomplished with a focus on the most successful movie of all time—James Cameron's *Avatar*. As a result, Fox became the first Media & Entertainment firm to successfully attain a zero pre-release IP leak of major DVD releases in Russia. Stephen has an MS in management of technology from the University of Pennsylvania, a joint program of Wharton Business School and the School of Applied Science & Engineering. He is a Moore Fellow in Management of Technology at University of Pennsylvania. He also has an MS in international management from University of Reading (United Kingdom). Stephen has been an Invited Panelist at: Tech ROI; New York Times Business-Innovation; and Silicon Valley's ISACA Annual Meeting and United Kingdom's Knowledge Transfer Network. In 2011, he was invited by the Chinese government in Chongqing to advise on non-monitored cloud services for MNCs such as Microsoft, JP Morgan and IBM Corp. He can be reached at stephen@ssingam.com.

Keith Strassberg (CPA, CISSP), technical reviewer, contributor, and first edition coauthor, is now CEO/CTO of Universal Survey, one of the world's largest independent market research data collection companies. Keith oversees Universal's operations and pushes the company to be a highly competitive and efficient partner. Universal's clients benefit from Keith's insight and extensive technical abilities, and he is known for developing and executing solutions in dynamic and fast-moving technology environments. Keith has been in the information security field for over 15 years and has worked at firms such as The Guardian Life Insurance Company of America and Arthur Andersen. Keith holds a BS in accounting from Binghamton University, and he can be reached at kstrassberg@yahoo.com.

Simon Thorpe, contributor, has been working with information security technologies since 1999. He was the first employee of SealedMedia after the founder received the first round of funding. He was involved in the development, support, QA, sales, consulting, product management, and marketing of the SealedMedia product. In 2006, when the technology was acquired by Oracle, Simon continued his involvement by working on IRM solutions with companies around the globe as well as deploying the technology internally, protecting Oracle's most valuable information. Simon has written for the Oracle IRM blog, *Oracle Profit Magazine*, and other online publications, and has extensive knowledge of many of the unstructured data security solutions in the market today. Simon then moved from Oracle to Microsoft, where he continues to apply his IRM knowledge with the Microsoft AD RMS technology. Simon is often looking for feedback on how people implement document and file security technologies, so feel free to contact him at simon@securitypedant.com.

Dr. Andrew A. Vladimirov (CISSP, CCNP, CCDP, CWNA, TIA Linux+), contributor, currently holds the position of Chief Security Manager for Arhont Information Security Ltd. (www.arhont.com), a fast-growing information security company based in Bristol, UK. Andrew is a graduate of King's College London and University of Bristol. He is a researcher with wide interests, ranging from cryptography and network security to bioinformatics and neuroscience. He published his first scientific paper at the age of 13 and dates his computing experience back to the release of Z80. Andrew was one of the cofounders of Arhont, which was established in 2000 as a pro-open-source information security company with attitude. Over the years, Andrew has participated in Arhont's contributions to the security community via publications at BugTraq and other security-related public e-mail lists, network security articles for various IT magazines, and statistical research. Andrew's wireless networking and security background predates the emergence of the 802.11 standard and includes hands-on experience designing, installing, configuring, penetrating, securing, and troubleshooting wireless LANs, Bluetooth PANs, and infrared links implemented using a wide variety of operating systems and hardware architectures. Andrew was one of the first UK IT professionals to obtain the CWNA certification, and he is currently in charge of the wireless consultancy service provided by Arhont. He participates in wireless security equipment beta testing for major wireless hardware and firmware vendors, such as Proxim, Belkin, and Netgear.

Barak Weichselbaum, contributor and technical reviewer, is a network and security consultant who started his career in the Israeli Defense Forces and served in the intelligence corps. He spearheaded the development of numerous network security products and solutions, including B2B, P2P, IPS, and IDS, from the ground up to the deployment and integration stage. He is the founder and CEO of B.W. Komodia Ltd. You can contact him at www.komodiam.com.

Marcia Wilson, contributor, is an information technology veteran who has focused on information security for the last decade. She holds the CISSP and CISM designations. She received her master's degree from the University of San Francisco and is finishing up her doctoral studies in information assurance at Capella University. Marcia has worked in a number of capacities in information security, including managing and directing security teams in a global environment, as an individual contributor, and as a consultant for small, medium, and large organizations. She is experienced in healthcare, financial, and high tech organizations in both the private and public sectors. Marcia's passion is protecting the privacy of individual personal and healthcare information.

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